

020504

16569 U.S. PTO

Express Mail No. EV 148297634 US

Docket No. 2022674-7007183001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Larry Ragle  
Appln. No.: New Application  
Filing Date: Herewith  
Title: INTEGRATED COLOR LED CHIP

031356 U.S. PTO  
10/772612

020504

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

I, Pam Golden, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on **February 5, 2004**, Express Mail Label No. **EV 148297634 US** and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sam Golden  
Signature of Person Mailing: Pam Golden

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

<b>I. Elements of the Application</b>		
<input checked="" type="checkbox"/> Application	[ 1 ] [ 13 ] [ 4 ] [ 1 ] [ 3 ]	page(s) of Cover Sheet page(s) of Specification page(s) of Claims (28 claims) page(s) of Abstract sheets of Drawings [ ] Formal [ X ] Informal
<input checked="" type="checkbox"/> Declaration	[ 2 ] page(s)	
	[ X ] Unexecuted [ ] Executed	[ ] Copy from prior application (37CFR § 1.63(d))
<input type="checkbox"/> Deletion of Inventors:	[ ] page(s) of signed statement deleting inventor(s)	(37CFR § 1.63(d) & 1.33(b))
<input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)		
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission:		
	[ ] page(s) of Sequence Listing (paper copy)	
	[ ] disk(s) containing Sequence Listing (computer readable copy)	
	[ ] page(s) of Statement Under 37 CFR 1.821(f)	
<input type="checkbox"/> Microfiche Computer Program Appendix		
<b>II. Claim for U.S. Priority</b>		
<input checked="" type="checkbox"/> This application is a	<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part
	of Prior Application No. <u>09/891,972</u> filed <u>June 26, 2001</u> , (35 USC § 120)	
<input checked="" type="checkbox"/> This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial	No. <u>60/214,505</u> filed <u>June 28, 2000</u> . (Provisional Application)	
<input checked="" type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application	filed herewith and is herein incorporated by reference in its entirety.	
<b>III. Claim for Foreign Priority</b>		
<input type="checkbox"/> This application claims the benefit under 35 USC § 119 of Prior Application No. _____	filed _____.	
<input type="checkbox"/> Certified Copy of Priority Document	[ ] page(s)	
<input type="checkbox"/> English Translation of Priority Document	[ ] page(s)	

**IV. Accompanying Documents**

- ☐ Assignment [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27
- ☒ Information Disclosure Statement [ 1 ] page(s) of PTO-1449 [ 0 ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☒ Three Sheets Informal Drawings
- ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

**V. Fee Calculation**

<input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	
		OTHER THAN SMALL ENTITY	SMALL ENTITY		
BASIC NATIONAL FILING FEE		\$ 770.00	\$ 385.00		\$385.00
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	28- 20 =	8	× \$18.00	× \$ 9.00	\$72.00
Independent claims	4- 3 =	1	× \$86.00	× \$43.00	\$43.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$290.00	\$145.00		\$0.00
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00					\$0.00
OTHER FEES _____ (specify)					\$0.00
<b>TOTAL FEES =</b>					<b>\$500.00</b>

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 50-2518, Docket No. 2022674-7007183001, in the amount of \$500.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2518, Docket No. 2022674-7007183001  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

DATE

David G. Beck  
NAME

37,776  
REGISTRATION NUMBER